

**UNITED STATES DISTRICT COURT
DISTRICT OF NEW JERSEY**

PROGRESSIVE HEALTH AND REHAB	)	
CORP., an Ohio corporation, individually and	)	
as the representative of a class of similarly-	)	
situated persons,	)	
	)	
Plaintiff,	)	Civil Action No. 3:20cv10106
	)	
v.	)	CLASS ACTION
	)	
INDEGENE, INC., a Delaware corporation,	)	
INDEGENE ENCIMA, INC., INDEGENE	)	
WINCERE, INCORPORATED, and	)	
INDEGENE HEALTHCARE, LLC,	)	
	)	
Defendants.	)	

CLASS ACTION COMPLAINT

Plaintiff, PROGRESSIVE HEALTH AND REHAB CORP. (“Plaintiff”), brings this action on behalf of himself and all others similarly situated, through his attorneys, and except as to those allegations pertaining to Plaintiff or his attorneys, which allegations are based upon personal knowledge, alleges the following upon information and belief against Defendants, INDEGENE, INC., INDEGENE ENCIMA, INC., INDEGENE WINCERE, INC., and INDEGENE HEALTHCARE, LLC. (collectively “Indegene” or “Defendants”):

PRELIMINARY STATEMENT

1. This case challenges Defendants’ practice of sending unsolicited advertisements via facsimile.

2. The federal Telephone Consumer Protection Act of 1991, as amended by the Junk Fax Prevention Act of 2005, 47 U.S.C. § 227 (hereafter “TCPA” or the “Act”), and the regulations promulgated under the Act, prohibit a person or entity from sending fax

advertisements without the recipient's prior express invitation or permission. The TCPA provides a private right of action and provides statutory damages of \$500 per violation.

3. On or about February 7, 2020, Defendants sent Plaintiff an unsolicited fax advertisement in violation of the TCPA (the "Fax"), a true and correct copy of which is attached hereto as Exhibit A, and made a part hereof.

4. The Fax seeks participants for a "Medical record Review of the Impact of Adverse Events Associated with the Use of Current anti-Parkinson's Disease Medications on Patient Clinical Therapeutics and Outcomes Trajectories in the U.S." and offers an honorarium of \$250 per eligible patient. See Exhibit A.

5. Plaintiff alleges on information and belief that Defendants have sent, and continue to send, unsolicited advertisements via facsimile transmission in violation of the TCPA, including but not limited to the advertisement sent to Plaintiff

6. Defendants' unsolicited fax has damaged Plaintiff and the class in that a junk fax recipient loses the use of its fax machine, paper, and ink toner. An unsolicited fax wastes the recipient's valuable time that would have been spent on something else. A junk fax intrudes into the recipient's seclusion and violates the recipient's right to privacy. Unsolicited faxes occupy fax lines, prevent fax machines from receiving authorized faxes, prevent their use for authorized outgoing faxes, cause undue wear and tear on the recipients' fax machines, and require additional labor to attempt to discern the source and purpose of the unsolicited message.

7. On behalf of itself and all others similarly situated, Plaintiff brings this case as a class action asserting claims against Defendants under the TCPA. Plaintiff seeks to certify a class which were sent the Fax and other unsolicited fax advertisements that were sent without prior express invitation or permission and without compliant opt-out language (to the extent the

affirmative defense of “established business relationship” is alleged). Plaintiff seeks statutory damages for each violation of the TCPA and injunctive relief.

8. Plaintiff is informed and believes, and upon such information and belief avers, that this action is based upon a common nucleus of operative facts because the facsimile transmissions at issue were and are being done in the same or similar manner. This action is based on the same legal theory, namely liability under the TCPA. This action seeks relief expressly authorized by the TCPA: (i) injunctive relief enjoining Defendants, its employees, agents, representatives, contractors, affiliates, and all persons and entities acting in concert with it, from sending unsolicited advertisements in violation of the TCPA; and (ii) an award of statutory damages in the minimum amount of \$500 for each violation of the TCPA, and to have such damages trebled, as provided by § 227(b)(3) of the Act in the event the Court determines any TCPA violations were willful or knowing

JURISDICTION AND VENUE

9. This Court has subject matter jurisdiction under 28 U.S.C. § 1331 and 47 U.S.C. § 227.

10. This Court has personal jurisdiction over Defendants because Defendants’ principal place of business is located within this judicial district.

PARTIES

11. Plaintiff, PROGRESSIVE HEALTH AND REHAB CORP, is an Ohio corporation.

12. Defendant, INDEGENE, INC., is a Delaware corporation with its principal place of business located in Princeton, New Jersey and an Agent/SOP address of Princeton South

Corporate Ctr. Ste 160, 100 Charles Ewing Blvd., Ewing, N.J. 08628. Dr. Rajesh Nair is the president of Indegene, Inc.

13. Defendant, INDEGENE ENCIMA, INC., is a Delaware corporation with its principal place of business located in Princeton, New Jersey and an Agent/SOP address of Princeton South Corporate Ctr. Ste 160, 100 Charles Ewing Blvd., Ewing, N.J. 08628. Dr. Rajesh Nair is the president of Indegene Encima.

14. Defendant, INDEGENE WINCERE, INCORPORATED, is a New Jersey corporation with its principal place of business located in Princeton, New Jersey and an Agent/SOP address of Princeton South Corporate Ctr. Ste 160, 100 Charles Ewing Blvd., Ewing, N.J. 08628. Dr. Rajesh Nair is the president of Indegene Wincere.

15. Defendant, INDEGENE HEALTHCARE, LLC, is a New Jersey limited liability company with a business address of 60 Chastain Center Blvd., Suite 62, Kennesaw, GA, 30144 and an Agent/SOP address of Princeton South Corporate Ctr. Ste 160, 100 Charles Ewing Blvd., Ewing, N.J. 08628. Dr. Rajesh Nair is the president of Indegene Healthcare.

FACTS

16. On information and belief, Defendants are privately held for profit “healthcare solutions compan[ies] that enables global healthcare organizations address complex challenges to improve health and business outcomes” with the goal to be “a trusted and preferred partner to global healthcare organizations.”¹

17. On information and belief, Defendants “create[] and deliver[] solutions for healthcare today and tomorrow by seamlessly integrating Analytics, Technology, Operation, and Medical Expertise.” As part of this integration, Defendants conduct surveys on behalf of its

¹ Facts regarding Defendants’ business practices found at Defendants’ website, www.indegene.com, last visited on June 8, 2020.

clients to gather data for their clients' marketing, sales, and research needs. Defendants receive payment for providing this information to its clients.

18. On or about February 7, 2020, Defendants sent an unsolicited facsimile study request to Plaintiff using a telephone facsimile machine, computer, or other device. See Exhibit A.

19. The February 7, 2020 Fax states in part the following:

“To: ALL NEUROLOGIST treating Parkinson’s Disease Patients

Non-Interventional Study Protocol #7577-PNavaratnam”

...We are searching for Neurologists from Academic Institutions/Academic Institutions (COE), Hospitals, Health Systems and private/clinic practices to participate in a retrospective medical record review...

...If you are interested, then please complete the following short survey and fax back with your contact information. Upon review and pre-qualification, you will be contacted with additional information to complete enrollment.

HONORARIUM: Receive \$250 per eligible Patient – Call for details”

(Exhibit A)

20. The Fax is a generic invitation to participants to participate in its Medical Record Review of the Impact of Adverse Events Associated with the Use of Current anti-Parkinson’s Disease Medications on Patient Clinical Therapeutics and Outcomes Trajectories in the U.S. Defendants will pay \$250 per eligible Patient. See Exhibit A.

21. The Fax is an offer by Defendants to “buy” a service from Plaintiff and the putative Class (study participation), and Defendants then uses this data for the benefit of its clients. These clients, in turn, pay Defendants for these benefits. On this basis, the Fax is an advertisement under the TCPA and the regulations implementing the TCPA.

22. Defendants created or made the Fax, or directed a third party to do so, and the Fax was sent by or on behalf of Defendants with Defendants’ knowledge and authorization.

23. Plaintiff did not give Defendants “prior express invitation or permission” to send the Fax.

24. On information and belief, Defendants faxed to at least forty recipients the same and other unsolicited facsimile advertisements without prior express invitation or permission, and without the required opt-out language, thereby precluding the affirmative defense of established business relationship.

25. There are no reasonable means for Plaintiff (or any other class member) to avoid receiving unauthorized faxes. Fax machines are left on and ready to receive the urgent communications their owners desire to receive.

26. Defendants’ facsimile attached as Exhibits A does not display a compliant opt-out notice as required by 47 C.F.R. § 227(b)(1)(C) and 47 C.F.R. § 64.1200(a)(4).

CLASS ACTION ALLEGATIONS

27. In accordance with Fed. R. Civ. P. 23(b)(3), Plaintiff brings this class action pursuant to the TCPA, on behalf of the following class of persons:

All persons who (1) on or after four years prior to the filing of this action, (2) were sent telephone facsimile messages of material advertising the commercial availability or quality of any property, goods, or services by or on behalf of Defendants, (3) from whom Defendants did not obtain “prior express invitation or permission” to send fax advertisements, or (4) with whom Defendants did not have an established business relationship, and (5) where the fax advertisements did not include an opt-out notice compliant with 47 C.F.R. § 64.1200(a)(4).

Excluded from the Class are Defendants, its employees and agents, and members of the Judiciary. Plaintiff seeks to certify a class that includes but is not limited to the fax advertisements sent to Plaintiff. Plaintiff reserves the right to amend the class definition upon completion of class certification discovery.

28. Class Size (Fed. R. Civ. P. 23(a)(1)): Plaintiff is informed and believes, and upon such information and belief avers, that the number of persons and entities of the proposed Class is numerous and joinder of all members is impracticable. Plaintiff is informed and believes, and upon such information and belief avers, that the number of class members is at least forty.

29. Commonality (Fed. R. Civ. P. 23(a)(2)): Common questions of law and fact apply to the claims of all class members. Common material questions of fact and law include, but are not limited to, the following:

(a) Whether the Fax and other faxes sent during the class period constitute advertisements under the TCPA and its implementing regulations;

(b) Whether Defendants meet the definition of “sender” for direct TCPA liability, meaning a “person or entity on whose behalf a facsimile unsolicited advertisement is sent or whose goods or services are advertised or promoted in the unsolicited advertisement,” 47 C.F.R. § 64.1200(f)(10);

(c) Whether Defendants had prior express invitation or permission to send Plaintiff and the class fax advertisements;

(d) Whether the Fax contains an “opt-out notice” that complies with the requirements of § (b)(1)(C)(iii) of the Act, and the regulations promulgated thereunder, and the effect of the failure to comply with such requirements;

(e) Whether Defendants should be enjoined from faxing advertisements in the future;

(f) The manner and method Defendants used to compile or obtain the list of fax numbers to which it sent Exhibit A or other fax advertisements;

(g) Whether Defendants should be enjoined from sending fax advertisements in the future;

(h) Whether Plaintiff and the other members of the class are entitled to statutory damages; and

(i) Whether the Court should award treble damages.

30. Typicality (Fed. R. Civ. P. 23(a)(3)): Plaintiff's claims are typical of the claims of all class members. Plaintiff received the same or other faxes as the faxes sent by or on behalf of Defendants advertising the commercially availability and/or quality of property, goods or services of Defendants during the Class Period. Plaintiff is making the same claims and seeking the same relief for itself and all class members based upon the same federal statute. Defendants have acted in the same or in a similar manner with respect to Plaintiff and all the class members by sending Plaintiff and each member of the class the same or similar faxes or faxes which did not contain compliant opt-out language or were sent without prior express invitation or permission.

31. Fair and Adequate Representation (Fed. R. Civ. P. 23(a)(4)): Plaintiff will fairly and adequately represent and protect the interests of the class. Plaintiff is interested in this matter, has no conflicts, and has retained experienced class counsel to represent the class.

32. Predominance and Superiority (Fed. R. Civ. P. 23(b)(3)): Common questions of law and fact predominate over any questions affecting only individual members, and a class action is superior to other methods for the fair and efficient adjudication of the controversy because:

(a) Proof of the claims of Plaintiff will also prove the claims of the class without the need for separate or individualized proceedings;

(b) Evidence regarding defenses or any exceptions to liability that Defendant may assert and attempt to prove will come from Defendants' records and will not require individualized or separate inquiries or proceedings;

(c) Defendant has acted and is continuing to act pursuant to common policies or practices in the same or similar manner with respect to all class members;

(d) The amount likely to be recovered by individual class members does not support individual litigation. A class action will permit a large number of relatively small claims involving virtually identical facts and legal issues to be resolved efficiently in one proceeding based upon common proofs; and

(e) This case is inherently manageable as a class action in that:

(i) Defendants identified persons to receive the fax transmissions and it is believed that Defendant's and/or Defendant's agents' computers and business records will enable Plaintiff to readily identify class members and establish liability and damages;

(ii) Liability and damages can be established for Plaintiff and the class with the same common proofs;

(iii) Statutory damages are provided for in the statute and are the same for all class members and can be calculated in the same or a similar manner;

(iv) A class action will result in an orderly and expeditious administration of claims and it will foster economies of time, effort and expense;

(v) A class action will contribute to uniformity of decisions concerning Defendant's practices; and

(vi) As a practical matter, the claims of the class are likely to go unaddressed absent class certification.

Claim for Relief for Violation of the TCPA, 47 U.S.C. § 227 *et seq.*

33. The TCPA makes it unlawful for any person to “use any telephone facsimile machine, computer or other device to send, to a telephone facsimile machine, an unsolicited advertisement” 47 U.S.C. § 227(b)(1)(C).

34. The TCPA defines “unsolicited advertisement” as “any material advertising the commercial availability or quality of any property, goods, or services which is transmitted to any person without that person's prior express invitation or permission, in writing or otherwise.” 47 U.S.C. § 227 (a) (5).

35. **Opt-Out Notice Requirements.** The TCPA strengthened the prohibitions against the sending of unsolicited advertisements by requiring, in section (b)(1)(C)(iii) of the Act, that senders of fax advertisements place a clear and conspicuous notice on the first page of the transmission that contains the following, among other things (hereinafter collectively the “Opt-Out Notice Requirements”):

A. a statement that the recipient is legally entitled to opt-out of receiving future faxed advertisements – knowing that he or she has the legal right to request an opt-out gives impetus for recipients to make such a request, if desired;

B. a statement that the sender must honor a recipient’s opt-out request within 30 days and the sender’s failure to do so is unlawful – thereby encouraging recipients to opt-out, if they did not want future faxes, by advising them that their opt-out requests will have legal “teeth”;

C. a statement advising the recipient that he or she may opt-out with respect

to all of his or her facsimile telephone numbers and not just the ones that receive a faxed advertisement from the sender – thereby instructing a recipient on how to make a valid opt-out request for all of his or her fax machines; and

D. The opt-out language must be conspicuous.

The requirement of (1) above is incorporated from section (b)(D)(ii) of the Act. The requirement of (2) above is incorporated from section (b)(D)(ii) of the Act and the rules and regulations of the FCC in ¶ 31 of its 2006 Report and Order (*In re Rules & Regulations Implementing the Telephone Consumer Protection Act, Junk Prevention Act of 2005*, 21 F.C.C.R. 3787, 2006 WL 901720, which rules and regulations took effect on August 1, 2006). The requirements of (3) above are contained in section (b)(2)(E) of the Act and incorporated into the Opt-Out Notice Requirements via section (b)(2)(D)(ii). Compliance with the Opt-Out Notice Requirements is neither difficult nor costly. The Opt-Out Notice Requirements are important consumer protections bestowed by Congress upon consumers and businesses giving them the right, and means, to stop unwanted fax advertisements.

36. **2006 FCC Report and Order.** The TCPA, in section (b)(2) of the Act, directed the FCC to implement regulations regarding the TCPA, including the TCPA’s Opt-Out Notice Requirements and the FCC did so in its 2006 Report and Order, which in addition provides among other things:

A. The definition of, and the requirements for, an established business relationship for purposes of the first of the three prongs of an exemption to liability under section (b)(1)(C)(i) of the Act and provides that the lack of an “established business relationship” precludes the ability to invoke the exemption contained in section (b)(1)(C) of the Act (*See* 2006 Report and Order ¶¶ 8-12 and 17-20);

B. The required means by which a recipient's facsimile telephone number must be obtained for purposes of the second of the three prongs of the exemption under section (b)(1)(C)(ii) of the Act and provides that the failure to comply with these requirements precludes the ability to invoke the exemption contained in section (b)(1)(C) of the Act (*See* 2006 Report and Order ¶¶ 13-16);

C. The things that must be done in order to comply with the Opt-Out Notice Requirements for the purposes of the third of the three prongs of the exemption under section (b)(1)(C)(iii) of the Act and provides that the failure to comply with these requirements precludes the ability to invoke the exemption contained in section (b)(1)(C) of the Act (*See* 2006 Report and Order ¶¶ 24-34).

37. **The Fax.** Defendants sent the Fax on or about February 7, 2020, via facsimile transmission from a telephone facsimile machine, computer, or other device to the telephone number and telephone facsimile machines of Plaintiff and members of the Class. The Fax constituted an advertisement under the Act and the regulations implementing the Act. Defendants failed to comply with the Opt-Out Notice Requirements in connection with the Fax. The Fax was transmitted to persons or entities without their prior express invitation or permission and/or Defendants are precluded from sustaining the established business relationship safe harbor with Plaintiff and other members of the class, because, *inter alia*, of the failure to comply with the Opt-Out Notice Requirements. By virtue thereof, Defendants violated the TCPA and the regulations promulgated thereunder by sending the Faxes via facsimile transmission to Plaintiff and members of the Class. Plaintiff seeks to certify a class which includes this Fax and all others sent during the four years prior to the filing of this case through the present.

38. **Defendants' Other Violations.** Plaintiff is informed and believes, and upon such information and belief avers, that during the period preceding four years of the filing of this Complaint and repeatedly thereafter, Defendants have sent via facsimile transmission from telephone facsimile machines, computers, or other devices to telephone facsimile machines faxes other than Exhibit A that constitute advertisements under the TCPA that were transmitted to persons or entities without their prior express invitation or permission (and/or that Defendants are precluded from sustaining the established business relationship safe harbor due to its failure to comply with the Opt-Out Notice Requirements). By virtue thereof, Defendants violated the TCPA and the regulations promulgated thereunder. Plaintiff is informed and believes, and upon such information and belief avers, that Defendants may be continuing to send unsolicited advertisements via facsimile transmission in violation of the TCPA and the regulations promulgated thereunder, and absent intervention by this Court, will do so in the future.

39. The TCPA provides a private right of action to bring this action on behalf of Plaintiff and Plaintiff Class to redress Defendants' violations of the Act, and provides for statutory damages. 47 U.S.C. § 227(b)(3). The Act also provides that injunctive relief is appropriate. *Id.*

40. The TCPA is a strict liability statute, so Defendants are liable to Plaintiff and the other class members even if its actions were only negligent.

41. Defendants knew or should have known that (a) Plaintiff and the other class members had not given prior express invitation or permission for Defendants or anybody else to fax advertisements about the availability or quality of Defendants' property, goods, or services to be bought or sold; (b) Plaintiff and the other class members did not have an established business

relationship; (c) Defendants transmitted advertisements; and (d) the Faxes did not contain the required Opt-Out Notice.

42. Defendants' actions caused injury to Plaintiff and the other class members. Receiving Defendants' junk faxes caused Plaintiff and other recipients to lose paper and toner consumed in the printing of Defendants' faxes. Defendants' faxes occupied Plaintiff's and class members' telephone lines and fax machines. Defendants' faxes cost Plaintiff and class members' time, as Plaintiff and its employees, as well as other class members and their employees, wasted their time receiving, reviewing, and routing Defendants' unauthorized faxes. That time otherwise would have been spent on Plaintiff's and the class members' business or personal activities. Defendants' faxes intruded into Plaintiff's and other class members' seclusion and violated their right to privacy, including their interests in being left alone. Finally, the injury and property damage sustained by Plaintiff and the other class members from the sending of Defendants' advertisements occurred outside of Defendants' premises.

WHEREFORE, Plaintiff, PROGRESSIVE HEALTH AND REHAB CORP., individually and on behalf of all others similarly situated, demands judgment in its favor and against Defendants, INDEGENE, INC., INDEGENE ENCIMA, INC., INDEGENE WINCERE, INC., and INDEGENE HEALTHCARE, LLC.as follows:

A. That the Court adjudge and decree that the present case may be properly maintained as a class action, appoint Plaintiff as the representative of the class, and appoint Plaintiff's counsel as counsel for the class;

B. That the Court award actual monetary loss from such violations or the sum of five hundred dollars (\$500.00) for each violation, whichever is greater, and that the Court award treble damages of \$1,500.00 if the violations are deemed "willful or knowing";

- C. That the Court enjoin Defendants from additional violations; and
- D. That the Court award pre-judgment interest, costs, and such further relief as the Court may deem just and proper.

Respectfully submitted,

PROGRESSIVE HEALTH AND REHAB CORP.,
an Ohio corporation, individually and as the
representative of a class of similarly-situated
persons

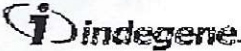
By: s/ Matthew N. Fiorovanti

Michael J. Canning
Matthew N. Fiorovanti
Giordano, Halleran & Ciesla
125 Half Mile Road, Suite 300
Red Bank, New Jersey 07701-6777
Telephone: 732-741-3900
Fax: 732-224-6599
Email: mcanning@ghclaw.com
mfiorovanti@ghclaw.com

and

Ryan M. Kelly (*pro hac vice to be submitted*)
ANDERSON + WANCA
3701 Algonquin Road, Suite 500
Rolling Meadows, IL 60008
Telephone: 847-368-1500
Fax: 847-368-1501
rkelly@andersonwanca.com

EXHIBIT A

	SITE CONTACT NAME:
FAX BACK TO: Genice Durham, Project Coordination Manager	SITE NAME:
FAX: (888) 600-3652	CONTACT PHONE:
PHONE: (678) 501-2596	CONTACT EMAIL:
TO: ALL NEUROLOGIST treating Parkinson's Disease Patients	
Non-Interventional Study Protocol #7577-PNavaratnam 'Medical Record Review of the Impact of Adverse Events Associated with the Use of Current Anti-Parkinson's Disease Medications on Patient Clinical Therapeutics and Outcomes Trajectories in the U.S.' We are searching for Neurologists from Academic Institutions/Academic Institutions (COE), Hospitals, Health Systems and private/clinic practices to participate in a retrospective medical record review. REQUIREMENT: Review current PD Patient's and submit qualified deidentified medical records of Parkinson's Patients; 5 Year look-back period begins June 30, 2014 to June 30, 2019 If you are interested, then please complete the following short survey and fax back with your contact information. Upon review and pre-qualification, you will be contacted with additional information to complete enrollment. HONORARIUM: Receive \$250 per eligible Patient – Call for details STUDY OBJECTIVES: The objectives for this study are to: 1. Evaluate the management of current anti-PD medications, treatment patterns and treatment decision-making (dose modifications, switching, augmentation, discontinuation, start/re-start, etc.) of PD patients. 2. Determine if adverse events associated with PD medications impact treatment strategies of PD patients differently based on disease staging/severity, age or age of onset, duration of illness, the presence/absence of psychiatric manifestations of Parkinson's disease or existence of co-morbidities and concomitant medications. 3. Understand the dynamics of shared treatment decision-making between neurologists and patients around treatment management as well as other healthcare resource use including diagnostics and other treatment choices (such as surgery) SETTING: The study frame is from on or before June 30th, 2014 and up to June 30th, 2019. The <u>inclusion criteria</u> is as follows:	

- Patients are required to have a primary diagnosis of PD (ICD-9 CM code: 332.XX, or ICD-10CM code: G20.XX or G21.XX) on or before June 30th, 2014.
- Patients are required to have treatment initiation with an anti-PD medication (see variable selection section of this protocol) soon after diagnosis (within 30 days).
- Patients are required to have at least two office visits (including the index office visit) with a primary diagnosis of PD, from the index visit up to the study termination date of June 30th, 2019.
- Patients are required to have at least one medication order record at least 6 months before the terminus date of the study frame i.e. June 30th, 2019.

The exclusion criteria would be as follows:

- Patients who had deep brain stimulation (DBS) surgical procedures at any time during the study frame would be excluded.
- Patients with any diagnosis of concomitant dementia (ICD-9 CM code: 290.XX, 331.XX, 292.XX, 291.2X, 294.1X, 294.2X or ICD-10 CM codes: F01.XX, F03.XX, G31.XX, suitable charts for review

**Number of Study
Patients / Population**

Approximately 30 – 40 clinical practices, which are expected to yield between 5 to 10